


agency for persons with disabilities
State of Florida

Rick Scott
Governor

October 18, 2018

■ ■
Barbara Palmer
Director

Barbara Palmer, Director
Agency for Persons with Disabilities
4030 Esplanade Way, Suite 380
Tallahassee, FL 32399-0950

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State Office

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4030 Esplanade Way
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32399-0950

Re: OIG# 181018-02, Status of Corrective Actions, Auditor General
Report No. 2018-189, State of Florida Compliance and Internal
Controls Over Financial Reporting and Federal Awards

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Dear Director Palmer:

In accordance with the provisions of Section 20.055(6)(h), Florida
Statutes, we asked the responsible manager for the current status of the
finding identified in the report.

The finding, recommendation, the manager's original response, and the
October 2018 status of corrective actions are attached. We have
reviewed these and believe the actions taken are acceptable.

Please contact me if you have any questions.

Sincerely,



Shawn McCormick, CPA, CISA, CIGI
Director of Audit

Enclosure

cc: JLAC@leg.state.fl.us
Eric Miller, Chief Inspector General
Sherrill F. Norman, Auditor General
Karen Hagan, Chief of Staff

Status of Corrective Actions for Auditor General Report No. 2018-189,
State of Florida Compliance and Internal Controls Over Financial
Reporting and Federal Awards

Status Type	Report No.	Report Title	
STATUS UPDATE - 6 MONTHS	2018-189	Statewide Federal Financial Awards Audit	
Contact Person	Program/Process	Phone No.	
Lori Gephart, Registered Nurse Consultant	Programs	(850) 921-3786	
Activity	Accountability	Schedule	
Utilization Control and Program Integrity	Responsible Unit	Repeat Finding	Anticipated Completion Date
	Clinical Supports	YES	12/31/2018
Finding:			
No.	2017-043		
Date	3/30/2018		
	The FAPD did not always ensure that continued stay reviews were conducted every 6 months for beneficiaries of Intermediate Care Facility Services for the Developmentally Disabled. (ICF-DDs).		
Recommendation	We recommend that the FAPD enhance oversight controls for continued stay reviews to ensure that reviews of ICF-IID beneficiaries are timely conducted in accordance with Federal regulations.		
Original Response/ Action Plan	<p>The Agency concurs.</p> <p>FAPD will issue an RFP (Request for Proposal) in March 2018 to seek vendors interested in contracting to provide the Utilization Review/ Continued Stay Review (UR/CSR) function. Contracting out for this function will help the Agency to ensure the function is performed timely.</p> <p>FAPD advertised for temporary OPS positions (a part-time or full-time position based on the number of ICFs in the region) for each of the regions to help support the workload issue. These temporary positions are dedicated to the completion of Continued Stay Reviews.</p> <p>In order to increase oversight, FAPD will continue to conduct monthly MCM conference calls (Statewide and Regional) for staff (including supervisors). UR/CSR is a standard topic on the agenda and includes the region providing an update on the UR/CSRs performed and any outstanding issues. Added to the agenda will be a review of the CSRs that are coming due and to account for all the CSRs that should have been completed. Focus in the Regions will be on ensuring that all reviews are completed timely.</p> <p>FAPD will monitor Regional completion of Continued Stay Reviews and Certificates of Need completed by the facilities.</p>		

Status of Corrective Actions for Auditor General Report No. 2018-189,
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	Regional management oversight and supervision of the UR/CSR process.
<p>Status Updates</p> <p><input type="checkbox"/> Open</p> <p><input checked="" type="checkbox"/> Partially complete</p> <p><input type="checkbox"/> Complete</p> <p><input type="checkbox"/> Complete pending verification by OIG</p> <p><input type="checkbox"/> Management assumes risk</p>	<p>FAPD issued an RFP (Request for Proposal) in March/April 2018 to seek vendors interested in contracting to provide the UR/CSR function. The contract was awarded to the vendor in August 2018 and is currently pending execution by the authorized officials.</p> <p>FAPD advertised and hired temporary OPS positions for each of the regions to help support the workload issue. These temporary positions are dedicated to the completion of Continued Stay Reviews.</p> <p>FAPD continues to conduct monthly MCM conference calls (Statewide and Regional) for staff (including supervisors). ICF UR/CSR is a standard topic on the agenda.</p> <p>FAPD continues to monitor Regional completion of Continued Stay Reviews and Certificates of Need completed by the facilities.</p> <p>The Regional Clinical Workstream Lead is responsible for oversight and supervision of the UR/CSR process in each of their regions.</p>