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August 25, 2017

Eric Miller
Chief Inspector General
Office of the Chief Inspector General
The Capitol
Tallahassee, Florida 32399-0001

Dear Eric:

In accordance with Section 20.055(5)(h), Florida Statutes, attached is the status of corrective actions taken in response to Auditor General Report # 2017-004, *Comprehensive Risk Assessments at Selected State Agencies*.

If you have any concerns regarding this status report, please contact Mike Blackburn by phone at 245-9418 or by email at mike.blackburn@fldoe.org.

Sincerely,

Pam Stewart
Commissioner

Attachment

cc: Mike Blackburn, Inspector General
Martha Asbury, Assistant Deputy Commissioner, Finance, and Operations
Joint Legislative Auditing Committee

Department of Education
Inspector General – External Audit Follow-up
Status Report on: IT Operational Audit of the Comprehensive Risk Assessments at Selected State Agencies
AG Report # 2017-004 Issued: July 28, 2016
Status as of August 23, 2017

Finding	Recommendation(s)	Management Response as of July 28, 2016	Management Response as of August 23, 2017	Anticipated Completion Date & Contact
<p>The risk assessment process for DOE did not include the classification of data and categorization of IT systems. Additionally, DOE did not develop risk mitigation plans for all IT security control deficiencies identified in the risk assessment process.</p>	<p>To ensure effective, comprehensive risk assessments, we recommend that DOE management include the classification of data and categorization of IT systems in their risk assessment processes and that management develop risk mitigations plans for all identified IT security control deficiencies.</p>	<p>The Department through an engagement with Deloitte has already developed a comprehensive plan for Enterprise Data Governance that addresses classifications of data and IT systems. In such, the plan outlines the resources needed for classifying and categorizing of all DOE information systems including data. Therefore, the Department is currently implementing Enterprise Data Governance based on the plan.</p>	<p>An initial data classification list was compiled and reviewed by the Data Governance Committee on 8/16/17. Processes have been defined to manage the data classification list going forward.</p> <p>A draft Data Classification Policy was developed on 2-28-17 and is being reviewed by the Data Governance Committee.</p>	<p>July 2018</p> <p>Contact: Antionette Williams, (850) 245-5075</p>
<p>Selected IT security controls for DOE need improvement to better ensure the confidentiality, integrity, and availability of agency data and IT resources.</p>	<p>To better ensure the confidentiality, integrity, and availability of agency data and IT resources, we recommend that DOE management improve their agency's IT security controls.</p>	<p>The Department agrees that additional policies/plans are needed to address security awareness, disaster recovery, and configuration management. These policies will be drafted within the next 90 days. The remaining confidential control issues are being addressed.</p>	<p>FDOE-IT currently has an approved Security Awareness Policy in place.</p> <p>In terms of a Disaster Recovery (DR) Plan, FDOE-IT is on schedule to identify and secure a vendor to guide the development, implementation, and management of DR Plan. Upon development, DR Plan will be submitted to leadership for review and approval.</p>	<ul style="list-style-type: none"> • June 2017 • July 2018 <p>Contact: Antionette Williams, (850) 245-5075</p>

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			The configuration management is currently being assessed for impacts.	