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Governor Charlie Crist

Secretary Linda H. South

MEMORANDUM

DATE: March 8, 2010
TO: John Wade, Executive Director, SouthWood Shared Resource Center
FROM: Steve Rumph, Inspector General
SUBJECT: **Six-Month Follow-up to Auditor General Report No. 2010-011
AWI, SSRC Unemployment Insurance Program**

Pursuant to Section 20.055(5)(g), Florida Statutes, the following is our explanation of the six-month status of findings and recommendations included in the Auditor General's Report No. 2010-011, ***Agency for Workforce Innovation, Southwood Shared Resource Center Unemployment Insurance Program***. Our response addresses the findings and recommendations in the same order as they appear in the report. This report contained one confidential finding which is listed below as finding No. 2. Because this was a confidential finding, a formal written response was not required. However, the OIG is required to follow-up to ensure that corrective action is taken on the noted deficiency. This is our report on the status of corrective action.

Six-Month Status Report

Finding No. 2 - Security Controls (Confidential)

Certain Agency and SSRC security controls relating to user authentication needed improvement.

Recommendation:

The Agency and SSRC should implement appropriate security controls to ensure the continued confidentiality, integrity and availability of Agency data and IT resources.

Response:

The SSRC has implemented the appropriate controls to correct the identified security issues.

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Current Status of Recommendation:

The SSRC continues to review the process on a quarterly basis for determining if appropriate controls are working effectively.

OIG Position

We agree with the actions taken by the SSRC and recommend this finding be closed.

Finding No. 5 – Access Controls

The Agency did not ensure the appropriateness of some UC Claims and Benefits access privileges and did not monitor for unauthorized attempts to access the Highway Safety and Motor Vehicle (HSMV) cross-match application. These issues were also disclosed in our audit report No. 2009-070.

Recommendation:

The Agency and SSRC should strengthen system access privileges to ensure an appropriate separation of duties. In addition, the Agency and SSRC should monitor and review the ongoing appropriateness of access privileges to promote the integrity of the UC System and data. The Agency should also periodically review UC Claims and Benefits user access privileges and HSMV cross-match application access violations.

Response:

The SSRC has reviewed the report pertaining to employee access and will continue to work with AWI to strengthen the current policy on access privileges to ensure the appropriate segregation of duties.

Current Status of Recommendation:

The SSRC has developed a new security setup process for CICS transactions. When new transactions are created, information is obtained about the nature of the transactions (inquiry vs. update). Creation of access controls for the transaction is handled appropriately based on this information. If the transaction is set for update, then no inappropriate developer, operator or systems staff groups are added to the profile access list. Any initial changes or setup to transaction security requires the approval of AWI's Internal Security Unit (ISU) and the AWI application owners. In addition, the SSRC has developed a quarterly process to review access privileges to UC Claims and benefits to ensure a separation of duties exists.

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OIG Position

We agree with the actions taken by the SSRC and recommend this finding be closed.

JSR/gz

cc: Kathy Dubose, Staff Director
Joint Legislative Auditing Committee

David W. Martin, Auditor General