



STATE OF FLORIDA

DEPARTMENT OF COMMUNITY AFFAIRS


"Dedicated to making Florida a better place to call home"

CHARLIE CRIST
Governor

THOMAS G. PELHAM
Secretary

MEMORANDUM

TO: Thomas G. Pelham, Secretary

FROM: Candie M. Fuller, Inspector General 

SUBJECT: Audit Follow-up

DATE: February 15, 2010

In accordance with Section 20.055 (5) (g), Florida Statutes, a report of the most recent audit relating to Department of Community Affairs issued by the Florida Auditor General is attached. The report includes a brief summary of the audit findings, recommendations, and agency response, with status of corrective actions at this time.

Please let me know if you would like additional information regarding this follow-up report.

Attachment

cc: Joint Legislative Auditing Committee

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FOLLOW-UP OF AUDIT REPORTS ISSUED BY THE AUDITOR GENERAL OR OPPAGA				
AUDITING ENTITY	REPORT NUMBER	PERIOD COVERED	SUMMARY OF FINDINGS AND RECOMMENDATIONS	SUMMARY OF CORRECTIVE ACTIONS TAKEN
Auditor General	2010-005	Oct 2008-January 2009, selected actions through March 2, 2009	<p>Finding No.3: DCA, DEP, DMA, and DOS did not complete the appropriate Self-Assessment Questionnaire to self-evaluate their compliance with the PCI Data Security Standard</p> <p>Recommendation: Agencies should ensure that appropriate versions of the Questionnaire are fully completed to evaluate the necessary controls to meet PCI Data Security Standard requirements and protect cardholder data.</p>	<p>The appropriate Self Assessment was completed November 5, 2009.</p>
			<p>Finding No.4: DEP and DMA had not engaged an approved scanning vendor to perform external network scans for applicable payment card applications. In addition, DCA had not successfully passed network scans performed by an approved scanning vendor prior to audit inquiry.</p> <p>Recommendation: Agencies should ensure that approved scanning vendors are engaged to conduct quarterly network scans for vulnerabilities and that</p>	<p>DCA successfully passed the second approved network scan on November 19, 2009. These scans are automatically scheduled with a PCI approved vendor to occur every 90 days.</p>

			<p>vulnerabilities, when detected, are remedied in a timely manner.</p>	
			<p>Finding No.6: DCA, DEP, and DMA lacked certain written information security policies and procedures required by the PCI Data Security Standard.</p> <p>Recommendation: DCA, DEP, and DMA should develop written information security policies and procedures to document management's expectations for the protection of cardholder data and promote compliance with the PCI Data Security Standard.</p>	<p>DCA has written and approved a comprehensive set of Security Policies and Procedures that meet the PCI Standards. This procedure was approved by the Department, July 27, 2009.</p>
			<p>Finding No.11: DCA lacked appropriate procedures for the reconciliation of income and expenses related to the acceptance of payment cards.</p> <p>Recommendation: DCA should perform appropriate reconciliations of income and expenses related to the acceptance of payment cards and promptly investigate and resolve any reconciling items in a timely manner to ensure that the State is receiving and expensing appropriate funds.</p>	<p>On January 1, 2009, DCA implemented reconciliation processes for income and expenses related to the acceptance of payment cards as well as a process of investigating and resolving any reconciling items.</p>