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Governor Charlie Crist

Secretary Linda H. South

MEMORANDUM

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DATE: October 28, 2009
TO: Linda H. South, Secretary
FROM: Steve Rumph, Inspector General
SUBJECT: Six-Month Status Report to Auditor General Report No. 2009-200

Pursuant to Section 20.055(5)(g), Florida Statutes, the following is our explanation of the six-month status of findings and recommendations included in the Auditor General's Report No. 2009-200, *Department of Management Services, Division of Retirement, Integrated Retirement Information System (IRIS)*. Our response addresses the findings and recommendations in the same order as they appear in the report.

Six-Month Status Report

Finding No. 2.2: Security Controls

The Division applied triggers to the security database tables to record which security administrator made a change to an account and when. However, a log was not maintained of specific changes made to a user's IRIS access privileges.

Recommendation:

The Division should implement a logging mechanism to record specific changes made to a user's IRIS access privileges.

Response:

The Division has submitted a System Investigation Request (SIR) requesting a logging mechanism. The SIR will be implemented using database triggers to record changes to access privileges, i.e. the system will keep a history of the PowerLock roles assigned to IRIS users. A reporting mechanism will be made available so that a review can be conducted of the history of Roles assigned to an IRIS user. This will be completed by June 30, 2009.

Current Status of Recommendation

This was implemented with SIR 3236 which was closed on May 19, 2009. A logging mechanism is now in place to record specific changes made to a user's IRIS access privileges.

OIG Position

We agree with the actions taken by the Division and recommend this finding be closed.

Finding No. 2.8: Security Controls

The Division had corrected most of the identified security control issues. However, the Division still needed to improve the authentication of FTP server users.

Recommendation:

The Division should enhance the authentication of FTP server users.

Response:

The Division completed enhancements to the authentication of FTP server users on April 19, 2009.

Current Status of Recommendation

The Division completed enhancements to the authentication of FTP server users on April 19, 2009.

OIG Position

We agree with the actions taken by the Division and recommend this finding be closed.

Finding No. 5: Software Patches and Updates

The Department should update its policy to address the Division's new operating system environment.

Recommendation:

The Department should update its policy to address the Division's new operating system environment.

Response:

This DMS policy will be updated, reviewed by management, approved and implemented by July 31, 2009.

Current Status of Recommendation

This policy is in draft form and will be reviewed by management. It will be considered final when the Secretary has signed the policy. An anticipated completion date is November 6, 2009.

OIG Position

We agree with the actions taken by the Division; however, until the policy has been signed by the Secretary the finding will remain open.

JSR/gz

cc: Kathy Dubose, Staff Director
Joint Legislative Auditing Committee

David W. Martin, Auditor General

Ken Granger, Chief of Staff
Department of Management Services

David Faulkenberry, Deputy Secretary
Department of Management Services

Sarabeth Snuggs, Director, Division of Retirement
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