



Office of Inspector General
Department of Management Services
4040 Esplanade Way, Suite 135
Tallahassee, Florida 32399-0001
Tel: 850.488.5285
Fax: 850.921.3066
www.dms.MyFlorida.com


Governor Charlie Crist

Secretary Linda H. South

MEMORANDUM

DATE: June 11, 2009

TO: John Wade, Executive Director, SouthWood Shared Resource Center

FROM: Steve Rumph, Inspector General 

SUBJECT: Six-Month Follow-up to Auditor General Report No. 2009-070 AWI, DOR, and DMS Unemployment Insurance Program

Pursuant to Section 20.055(5)(g), Florida Statutes, the following is our explanation of the six-month status of findings and recommendations included in the Auditor General's Report No. 2008-172, **Agency for Workforce Innovation, Department of Revenue, and Department of Management Services Unemployment Insurance Program**. Our response addresses the findings and recommendations in the same order as they appear in the report. This report contained one confidential finding which is listed below as finding No. 3. Because this was a confidential finding, a formal written response was not required. However, the OIG is required to follow-up to ensure that corrective action is taken on the noted deficiency. This is our report on the status of corrective action.

Six-Month Status Report

Finding No. 2: Access Controls

Improvements were needed regarding access controls.

- *The Agency (Agency for Workforce Innovation) and DMS had not established proper access configurations to ensure an appropriate separation of duties, nor implemented controls for monitoring and reviewing certain access privileges for the UC Claims and Benefits production applications and production files. The production control group, programmers, systems staff, and Operation and Network Services, including contracted employees, had been granted access privileges that were not required to perform their job duties.*

Recommendation:

The Agency and DMS should strengthen system access privileges to ensure an appropriate separation of duties and monitor and review the ongoing appropriateness of access privileges to promote the integrity of the UC System and data.

Response:

The SSRC will work with AWI to strengthen the current policy on access privileges to ensure the appropriate segregation of duties and access privileges are granted to technical staff.

Current Status of Recommendation:

AWI requested that the SSRC remove access in production to CICS update transactions for applications development staff. On 6/2/2009, CICS update access was removed from application developers without adversely affecting their ability to test changes to CICS update transactions in non-production regions.

OIG Position

We agree with the actions taken by the SSRC and recommend this finding be closed.

Finding No. 3: Security Controls

Improvements were needed in certain security controls protecting the UC System, in addition to the matters noted in Finding No. 2, needed improvement.

Recommendation:

The Agency and DMS should implement appropriate controls to correct the identified security issues.

Response:

The SSRC is currently implementing the appropriate controls to correct the identified security issues.

Current Status of Recommendation:

The SSRC has developed and implemented a quarterly review process for determining if appropriate controls are working effectively.

Mr. John Wade, Executive Director
June 11, 2009
Page 3

OIG Position

We have obtained written procedures of the quarterly review process and verified that the last two quarterly reviews were conducted by the SSRC. We recommend this finding be closed.

JSR/gz

cc: Kathy Dubose, Staff Director
Joint Legislative Auditing Committee

David W. Martin, Auditor General