



Florida Department of Agriculture and Consumer Services
CHARLES H. BRONSON, Commissioner
The Capitol • Tallahassee, FL 32399-0800
www.doacs.state.fl.us

Please Respond to:
Office of Inspector General
2005 Apalachee Parkway, Suite E
Tallahassee, FL 32399-6500

MEMORANDUM

DATE: February 19, 2009

TO: Charles H. Bronson
Commissioner

FROM: Joseph R. Martelli *JRM*
Inspector General

SUBJECT: Follow-up to Selected State Agencies' Surplus Information Technology Property Controls – Report No. 2009-083, dated January 2009

As part of the Office of Inspector General's follow-up to the Auditor General's audit of Selected State Agencies' Surplus Information Technology Property, the status of findings and recommendations are described in the enclosed report.

If you have any questions, please call me or Gary Braddock, Director of Auditing, at 245-1360.

JRM/gc

Enclosure

cc: Terry L. Rhodes, Assistant Commissioner
Mike Gresham, Director, Division of Administration
David McInnes, Director, Office of Legislative Affairs
Senator Alex Diaz de la Portilla, Chair, Legislative Auditing Committee
David W. Martin, Auditor General



Florida Agriculture and Forest Products
\$97 Billion for Florida's Economy

Follow Up Audit Report

Joseph R. Martelli, Inspector General

February 10, 2009



Auditor General Report No. 2009-083 Selected State Agencies' Surplus Information Technology Property Controls AT A GLANCE

This audit dated January 2009, by the Auditor General, contained two findings and recommendations of which one was applicable to the Department of Agriculture and Consumer Services' Information Technology Program. As part of the Office of Inspector General's follow-up activities, the Office of Inspector General reviewed Standard Operating Procedures on Surplus Computer Equipment. The status of the finding and recommendation applicable to this Department is reported below.

FINDING:

Surplus IT Property Disposal Procedures

Satisfied

FINDING DETAIL

Finding: IT resource controls dictate that written procedures be developed and implemented to prevent access to sensitive information and software from computers, disks, and other property or media when these items are disposed of or transferred to another use. Such procedures would include the erasure of data from computer hard drives and the recording of information regarding the procedures performed to cleanse IT property prior to its disposal. The audit disclosed that the Department's procedures for or performance of IT property disposal needed improvement.

Recommendation: To prevent access to sensitive information and software from computers, disks, or other property or media being surplus or disposed of, the Department should improve their procedures or practices for the disposal of IT property by enhancing procedures to include the maintenance of logs indicating the computers for which the hard drives were erased, when erased, and by whom erased.

STATUS: Satisfied

The Department has enhanced procedures to include the maintenance of logs indicating the computers for which the hard drives were erased, when erased, and by whom erased.

This follow-up engagement was conducted in accordance with the applicable standards for the General Principles and Standards for Offices of Inspector General, the Professional Practice of Internal Auditing, and Information Systems Auditing as published by the Association of Inspectors General, the Institute of Internal Auditors and the Information Systems Audit and Control Association, respectively.

Gregg Conrad - Internal Auditor
Gary Braddock, CIA, CISA, CPA - Director of Auditing
2005 Apalachee Parkway, Suite E, Tallahassee, Florida 32399-6500
(850) 245-1360 <http://www.doacs.state.fl.us/oig/> oig@doacs.state.fl.us

Florida Department of Agriculture and Consumer Services



Joseph R. Martelli
Inspector General

External Audit Status Report

February 10, 2009

**Auditor General
Report No. 2009-083
Dated: January 2009**

**Selected State Agencies' Surplus Information
Technology Property Controls**

The Inspector General is required by Florida Statute 20.055(5)(h) to report to the Commissioner of Agriculture on the status of corrective actions taken on reports published by the Auditor General or the Office of Program Policy Analysis and Government Accountability (OPPAGA).

Distribution List

Charles H. Bronson, Commissioner	Terry L. Rhodes, Assistant Commissioner
Mike Gresham, Director, Division of Administration	David McInnes, Legislative Affairs Director
David W. Martin, Auditor General	Senator Alex Diaz de la Portilla, Chair, Joint Legislative Auditing Committee

This audit by the Auditor General contained one finding and recommendation that required corrective action by the Department. The status of corrective action was reported by management. Findings that do not require corrective action by the Department are not included in this status report.

Status of Corrective Action

Finding: IT resource controls dictate that written procedures be developed and implemented to prevent access to sensitive information and software from computers, disks, and other property or media when these items are disposed of or transferred to another use. Such procedures would include the erasure of data from computer hard drives and the recording of information regarding the procedures performed to cleanse IT property prior to its disposal. The audit disclosed that the Department's procedures for or performance of IT property disposal needed improvement.

Corrective Action: Has been taken – The Department has enhanced procedures to include the maintenance of logs indicating the computers for which the hard drives were erased, when erased, and by whom erased.

Follow-up by the Office of Inspector General Internal Audit Group: Has been performed