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Governor Charlie Crist

Secretary Linda H. South

MEMORANDUM

DATE: May 20, 2008
TO: Linda H. South, Secretary
FROM: Steve Rumph, Inspector General

A handwritten signature in black ink, appearing to be 'SR', is written over the 'FROM' line.

SUBJECT: **Six-Month Status Report, Auditor General Audit Report No. 2007-182**

Pursuant to Section 20.055(5)(g), Florida Statutes, the following is our explanation of the six-month status of findings and recommendations included in the Auditor General's Report No. 2007-182, **Department of Management Services, Wireless Emergency Telephone System Fund**, dated May 3, 2007. Our response addresses the findings and recommendations in the same order as they appear in the report.

Six-Month Status Report

Finding No. 2: Access Controls

Improvements were needed regarding access controls.

- ***The Agency (Agency for Workforce Innovation) and DMS had not established proper access configurations to ensure an appropriate segregation of duties, nor implemented controls for monitoring and reviewing certain access privileges. Some individuals within the production control group, programmers, systems staff, and Operation and Network Services, including contracted employees, had been granted access privileges that were not required to perform their job duties.***

Recommendation:

The Agency and DMS should strengthen system access privileges to ensure an appropriate segregation of duties and monitor and review the ongoing appropriateness of access privileges to promote the integrity of the UC System and data. The Agency should also develop a formal access authorization process, including written evidence of access requests and authorizations,

production of access monitoring reports, and periodic review of user access privileges and access violations. Additionally, the Agency should ensure that the RACF security architecture does not inappropriately give access privileges to users who do not require access to accomplish their job responsibilities and that the access privileges of terminated employees are removed in a timely manner. Furthermore, the Agency should ensure that dataset violations are monitored, investigated, and corrected in a timely manner.

Original Response:

DMS will work with AWI to strengthen the current policy on access privileges to ensure the appropriate segregation of duties and access privileges are granted to technical staff.

Current Status of Recommendation

As reported in the Auditor General's report, access control privileges for the production control and system control staff was corrected (July 25, 2007) prior to the issuance of the report. In addition, the Department met with AWI on several occasions.¹ As a way to help AWI strengthen and monitor the system access privileges, the Department provided information on security access administration tools that can be used to monitor access privileges. Based on feedback from AWI, it seems that AWI will be purchasing the necessary licenses to use the security access administration tools.

OIG Position

We recommend that this finding be closed.

Finding No. 3: Security Controls

Improvements were needed in certain security controls protecting the UC System, in addition to the matters noted in Finding No. 2.

Recommendation:

The Agency and DMS should implement appropriate controls to correct the identified security issues.

¹ The Department started meeting with AWI on July 31, 2007 to work on correcting the deficiencies noted in the audit report. Their last meeting was May 6, 2008.

Response:

DMS is currently implementing the appropriate controls to correct the identified security issues.

Current Status of Recommendation

On March 21, 2008, the recommended security controls were fully implemented.

OIG Position

We recommend that this finding be closed.

If further information is needed, please contact John Davis, Auditor Director, or myself at 488-5285.

SR/taw

cc: Terry L. Shoffstall, Director
Joint Legislative Auditing Committee

David W. Martin, Auditor General

James Mathews, Inspector General
Agency for Workforce Innovation

Terry Kester, Deputy Secretary
Department of Management Services